



Form ADV Part 2B – Brochure Supplement

for

Roberto Apud Abud, MBA
Wealth Advisor

JV Global Capital, Inc.
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Effective: March 1, 2022

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Roberto C. Apud Abud (CRD# 6452510) in addition to the information contained in the JV Global Capital, Inc. (“JVGC” or the “Investment Advisor”, CRD# 316742) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the JVGC Disclosure Brochure or this Brochure Supplement, please contact us at (281) 804-4639.

Additional information about Mr. Apud Abud is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6452510.

Our advisors provide advisory services under a practice name or “doing business as” name or may have their own legal business entities. However, advisory services are engaged exclusively through JV Global Capital, Inc., a registered investment adviser.

Item 2 – Educational Background and Business Experience

Roberto C. Apud Abud, born in 1961, is dedicated to advising Clients of JVGC as a Wealth Advisor of JV Global Capital, Inc. Mr. Apud Abud earned a Master of Business Administration from Monterrey Institute of Technology and Higher Education in 1997. Additional information regarding Mr. Apud Abud's employment history is included below.

Employment History:

Wealth Advisor, JV Global Capital, Inc.	10/2021 to Present
Representative, Insight Securities, Inc.	01/2022 to Present
Financial Operations Manager ViSteel, Inc.	03/2020 to Present
International Banking, Banca AFIRME, SA	03/2020 to Present
Private Client Advisor II, Bank of America, N.A.	04/2015 to 01/2020
Financial Advisor, Merrill Lynch, Pierce, Fenner & Smith Incorporated	02/2015 to 01/2020
CEO, Regio En Linea, SA de CV	02/2013 to 01/2015
CEO, Grupo Industrial Kamar	07/2011 to 01/2013
CEO, Regio En Linea, SA de CV	07/2010 to 06/2011
CEO, Apud de la Fuente y Asociados, SA de CV	02/2005 to 06/2010

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Apud Abud. Mr. Apud Abud has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Apud Abud.

Securities laws require an advisor to disclose any incidences where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Apud Abud.***

However, we do encourage you to independently view the background of Mr. Apud Abud on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6452510.

Item 4 – Other Business Activities

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged as either a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Mr. Apud Abud is an independent contractor and representative of Insight Securities (CRD #5611), Number of Hours devoted 0, Number of hours devoted during trading hours 0.

Insurance Agency Affiliations

Mr. Apud Abud is also a licensed insurance professional. As an insurance professional, Mr. Apud Abud will not be soliciting insurance products in this capacity.

For profit or not for profit: For-Profit Organization

Name of outside business organization: Regio en Linea, SA de CV

Investment related: N

Address of business:

Puerta del sol 915, Colinas de San Jeronimo

Monterrey, Nuevo Leon 64630

Nature of business: other, Sociedad Anonima Mexico(similar to corporation)

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Position, title, association: family held, currently not operating.
Start date of relationship: 7/23/2010
Number of hours devoted: 0 hour(s) monthly
Number of hours devoted during trading hours: 0

Item 5 – Additional Compensation

Mr. Apud Abud has additional business activities where compensation is received that are detailed in Item 4 above.

At JVGC, Mr. Apud Abud receives compensation for providing investment advisory services that is primarily based on a percentage of fees charged on assets under management for investment management services and/or a percentage of fees charged for financial planning and consulting services.

Mr. Apud Abud may, from time to time, receive additional compensation or other economic benefits; such as, sales awards, recruiting and training support services, expense reimbursement, software, bonuses or non-cash compensation (e.g., attend sales conferences and other recognition events) for providing investment advisory products or services.

Mr. Apud Abud may be eligible to receive compensation for referring individuals who become Advisory Persons of JVGC. The compensation paid to the referring Advisory Persons is based on the referred individuals becoming Advisory Persons of JVGC and revenue from investment advisory fees earned by them.

Item 6 – Supervision

Mr. Apud Abud serves as a Wealth Advisor of JVGC and is supervised by Sergio Donis, the Chief Compliance Officer. Mr. Donis can be reached at 480-738-6770

JVGC has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of JVGC. Further, JVGC is subject to regulatory oversight by various agencies. These agencies require registration by JVGC and its Supervised Persons. As a registered entity, JVGC is subject to examinations by regulators, which may be announced or unannounced. JVGC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 7 – Requirements for State-Registered Advisers

Mr. Apud has never been involved in any of the events listed below:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions; (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self regulatory organization, or administrative proceeding involving any of the following: (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions; (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or (e) dishonest, unfair, or unethical practices.

Mr. Apud has never has been the subject of a bankruptcy petition.